



**Board of Trustees
Evening Zoom Video Conference**

**October 28, 2021
7:00 p.m.**

PRESENT

President Tom Goncharoff, 1st Vice President Mike Logan, 2nd Vice President Verner R. Query and Trustees Vanessa Alexander, Norma Alvarez, Mark Brown, C. Warren Franklin, Annette McCloy, Mac Murray, Helen Nave, & Nancy Summers.

ABSENT

Susie Prather.

QUORUM

President Goncharoff acknowledged a quorum present.

EXECUTIVE SESSION

President Goncharoff stated that Mike Logan, being the 1st Vice President, will discuss the issue regarding Trustee Conduct since this falls under his department according to the By-Laws. This will be the only item that we discuss in this executive session, and we have allowed for 20 minutes.

Mike Logan started off explaining that according to the By-Laws, it is the 1st Vice President's job to serve as the By-Laws and rules advisor and that is what he is going to speak to. It is not up for discussion, and he plans to keep it short. This issue is not pointed at any one person. This is something that the entire board needs to bear in mind and keep in our heads at all times and especially during our meetings.

Mike Logan then read the following verbatim from the Code of Conduct and Ethics:

Code: Members of the Board Of Trustees of the New Mexico Horse Breeders Association committed to observing and promoting the highest standards of ethical conduct in the performance of their responsibilities on the board of the New Mexico Horse Breeders Association. Board members pledge to accept this code as a minimum guideline for ethical conduct.

Scope: This Code of Conduct and Ethics applies to all New Mexico Horse Breeders Association "NMHBA" trustees, officers and employees. Such trustees, officers and employees are referred to herein collectively as the "Covered Parties."

Purpose: The NMHBA is proud of the values with which it conducts business. It has and will continue to uphold the highest levels of ethics and personal integrity in all types of transactions and interactions

He stopped reading at that point to say that it cannot be clearer and that we have all signed a copy of the Code of Conduct and Ethics for Board of Trustees. We need to abide by these rules of conduct so to continue on thankfully abide by the Articles of Incorporation By-Laws and Policies for the New Mexico Horse Breeders Association.

Professional Excellence:

1. Maintaining a professional level of courtesy, respect and objectivity in all New Mexico Horse Breeders Association activities.
2. Strive to uphold those practices and assist other New Mexico Horse Breeders Association members of the board in upholding the highest standards of conduct.

Ethical Standards: Exercise the powers invested for the good of all members of the association rather than for his or her personal benefit, or that of the nonprofit they represent.

Conflicts of Interest. A conflict of interest exists when a person's personal interest interferes in any way with the interests of NMHBA. A conflict can arise when a Covered Party takes action or has interests that may make it difficult to perform his or her work for NMHBA objectively and effectively or make decisions affecting the interests of NMHBA. Conflicts of interest may also arise when a Covered Party, or members of his or her family or his or her employer, receives improper information or personal benefits as a result of his or her position with NMHBA. It is almost always a conflict of interest for a Covered Party to work simultaneously for an organization or individual having a competing interest to those of NMHBA,

There is a protocol in place that if you have a subject matter that you think requires contacting a racetrack , association, or an individual that you know, you should first go to the committee you are on and or the President about your concerns and then have the Board of Trustees vote on whether you should proceed with this subject matter or not because once you attach yourself as a Trustee, you're representing us.

Everyone on this board has something to contribute and we have always done a good job of bettering this industry. We need to put this issue behind us on our Code of Conduct and go forward.

Mike Logan then turned the meeting back over to President Goncharoff who asked if there was a motion to adjourn the meeting.

Mark Brown made a motion to adjourn the meeting, seconded by Norma Alvarez. Motion carried 10:1.

Tom Goncharoff
NMHBA President

Mary M. Barber
Attest